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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	<b>Application Number</b>	10/650,360	
	<b>Filing Date</b>	August 27, 2003	
	<b>First Named Inventor</b>	Leung, A.	
	<b>Group Art Unit</b>	2188	
	<b>Examiner Name</b>	Padmanabhan, Mano	
<b>Total Number of Pages in This Submission</b>	6	<b>Attorney Docket Number</b>	021154-000810US

## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Return receipt postcard 2) PTO/SB/08A and PTO/SB/08B forms 3) Four (4) references
<b>Remarks</b> The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. Total number of pages <u>does not</u> include cited references.		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

<b>Firm and Individual name</b>	Townsend and Townsend and Crew LLP Sujit B. Kotwal	Reg No. 43,336
<b>Signature</b>	S. B. Kotwal	
<b>Date</b>	May 10, 2005	

## CERTIFICATE OF MAILING

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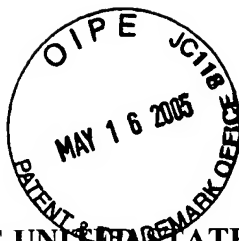
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60486041 v1



PATENT  
Attorney Docket No.: 021154-000810US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

ALBERT LEUNG et al.

Application No.: 10/650,360

Filed: August 27, 2003

For: OPTIMIZING STORAGE  
CAPACITY UTILIZATION BASED  
UPON DATA STORAGE COSTS

Examiner: Padmanabhan, Mano

Art Unit: 2188

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER 37  
CFR §1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

Applicant believes that no fee is required for submission of this statement.

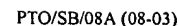
However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

*S. B. Kotwal*

Sujit B. Kotwal  
Reg. No. 43,336

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SBK:crf  
60486044 v1



Substitute for form 1449A/PTC

*(use as many sheets as necessary)*

**Complete if Known**

Application Number	10/650,360
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Filing Date	August 27, 2003
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First Named Inventor	Leung, Albert
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Art Unit	2188
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Examiner Name	Padmanabhan, Mano
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Attorney Docket Number	021154-000810US
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Sheet

1

of

2

## U.S. PATENT DOCUMENTS+

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## FOREIGN PATENT DOCUMENTS

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Signature

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60486044 v1

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/650,360
				Filing Date	August 27, 2003
				First Named Inventor	Leung, Albert
				Art Unit	2188
				Examiner Name	Padmanabhan, Mano
Sheet	2	of	2	Attorney Docket Number	021154-000810US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AA	MOORE et al., "Policy Core Information Model Extensions," Internet Draft, DRAFT-IETF-POLICY-PCIM-EXT-06.TXT, 11/8/01, pages 1-85 downloaded from <a href="http://www.watersprings.org/pub/id/draft-ietf-policy-pcim-ext-06.txt">http://www.watersprings.org/pub/id/draft-ietf-policy-pcim-ext-06.txt</a> on 2/2/05.	
	AB	STRASSNER et al., "Policy Framework Definition Language," Internet Draft, DRAFT-IETF-POLICY-FRAMEWORK-PFDL-00.TXT, 11/17/98, pages 1-18 downloaded from <a href="http://www.watersprings.org/pub/id/draft-ietf-policy-framework-pfdl-00.txt">http://www.watersprings.org/pub/id/draft-ietf-policy-framework-pfdl-00.txt</a> on 3/2/05.	
	AC	WATERS et al., "Policy Framework Architecture," Internet Draft, DRAFT-IETF-POLICY-ARCH-00.TXT, 2/26/99, pages 1-18 downloaded from <a href="http://www.watersprings.org/pub/id/draft-ietf-policy-arch-00-txt">http://www.watersprings.org/pub/id/draft-ietf-policy-arch-00-txt</a> on 3/2/05.	
	AD	WOLFSON et al., "Managing Communication Networks by Monitoring Databases," <u>IEEE Trans. Software Engineering</u> , 17(9):944-953 (1991).	

Examiner Signature		Date Considered	
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